

Guarantee Advice Amendment - Beneficiary Consent User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Guarantee Advice Amendment - Beneficiary Consent User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Guarantee Advise Amendment - Beneficiary Consent transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advise Amendment - Beneficiary Consent

The Guarantee Advise Amendment Beneficiary Consent process takes care of Capture of Beneficiary Consent of Amendment Advised at the

- Advising Bank and
- Advise through Bank

This process has following three stages:

1. Registration
2. Data Enrichment
3. Authorization

The process may also have the following additional stages depending on the scenario:

- Amount Block Exception
- Limit Earmark Exception

This section contains the following topics:

[Common Initiation Stage](#)

[Data Enrichment](#)

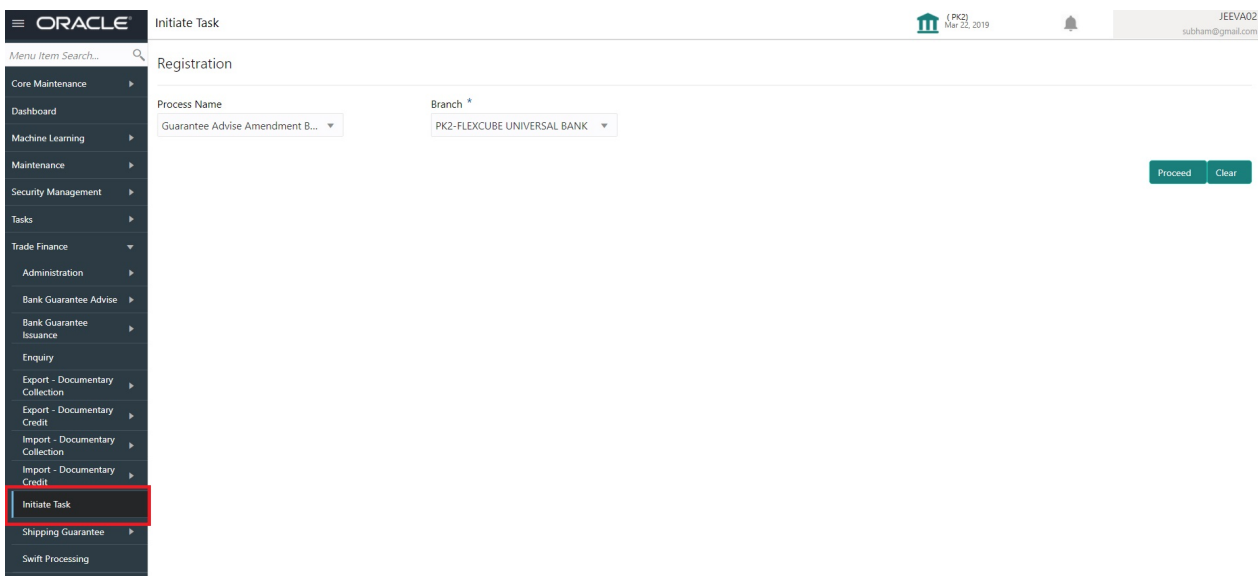
[Registration](#)

[Approval](#)

Common Initiation Stage

The user can initiate the new guarantee advise amendment beneficiary consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

| Field | Description |
|--------------|-----------------------------------------------|
| Process Name | Select the process name to initiate the task. |
| Branch | Select the branch. |

Action Buttons

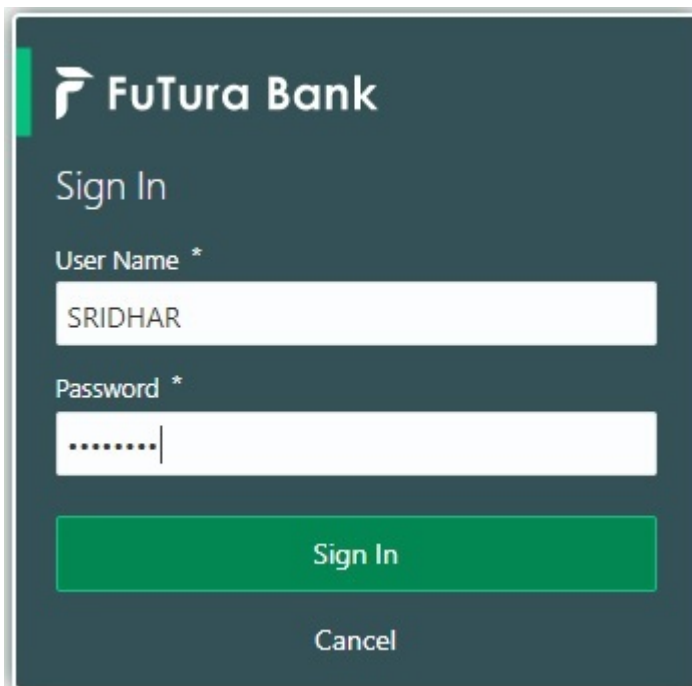
Use action buttons based on the description in the following table:

| Field | Description |
|---------|--------------------------------------------------------------------|
| Proceed | Task will get initiated to next logical stage. |
| Clear | The user can clear the contents update and can input values again. |

Registration

During Registration stage, user can register the beneficiary consent response received for the amendment to Guarantee advised. User can enter the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

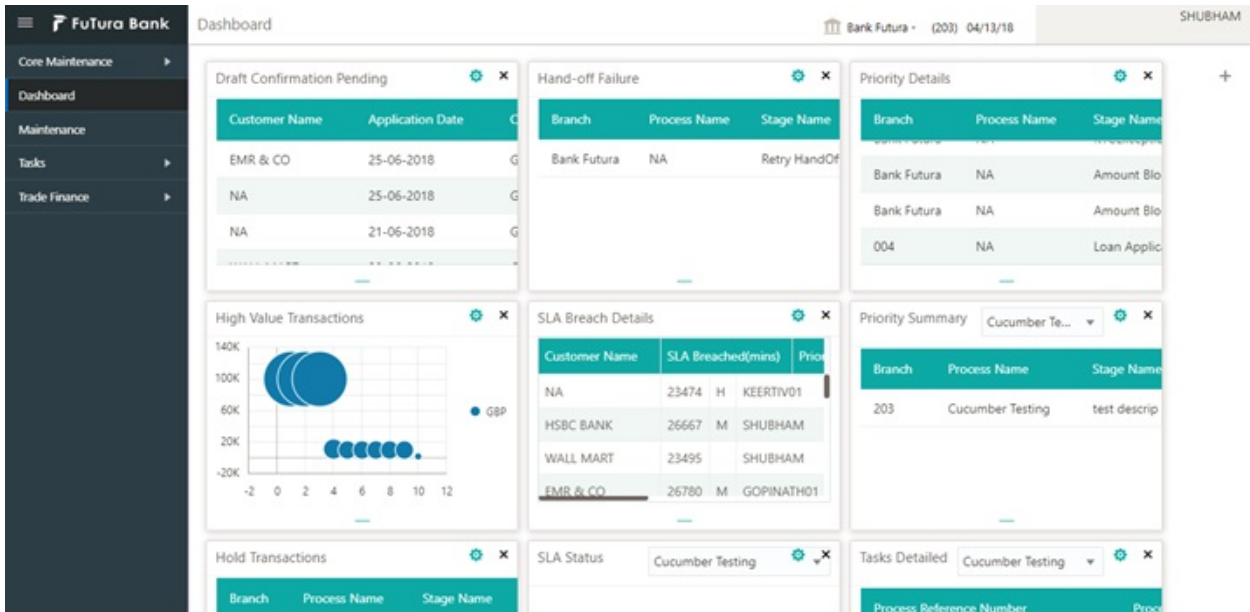
Password *

.....

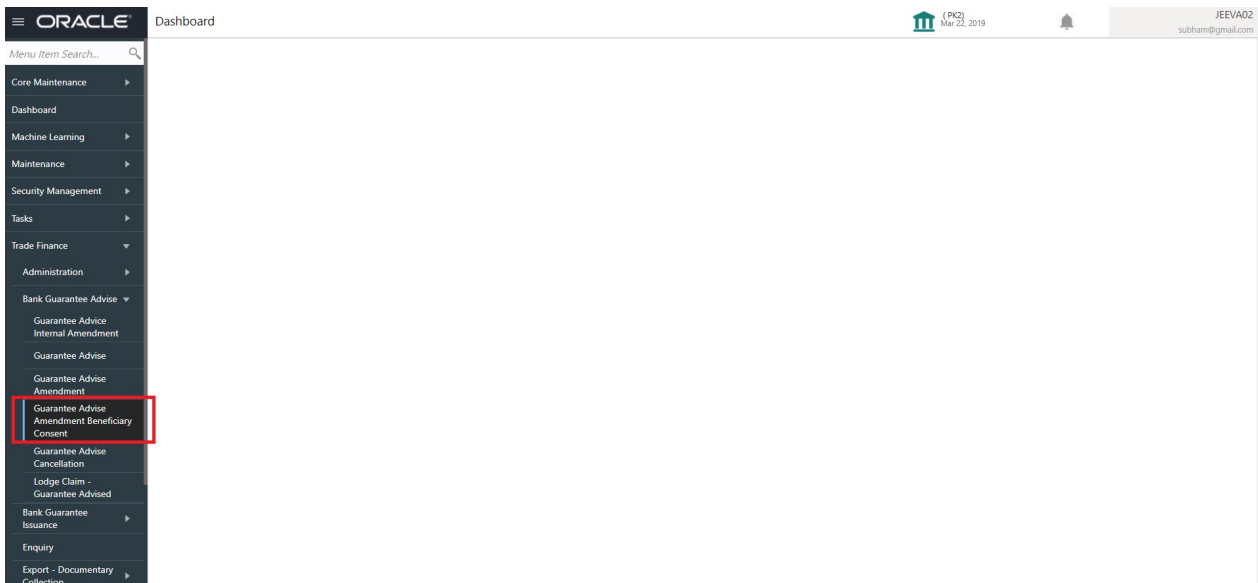
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Advice > Guarantee Advise Amendment - Beneficiary Consent.



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

Application Details

Guarantee Advise Amendment Beneficiary Consent Documents Remarks Customer Instruction

Application Details

Advising Bank Ref: PK2GUAD2112SAVN5
 Received From - Customer ID: 001044
 Received From - Customer Name: GOODCARE PLC
 Branch: PK2-Oracle Banking Trade Finan...
 Process Reference Number: PK2GTEA000007154
 Priority: Medium
 Submission Mode: Desk
 Transaction Date: May 5, 2021

[View Undertaking](#)

Beneficiary Response Capture

| Amendment Number | Amendment Date | Beneficiary Consent Required | Beneficiary Response | Remarks | Action |
|------------------|----------------|-------------------------------------|----------------------|---------|-------------------|
| 1 | May 5, 2021 | <input checked="" type="checkbox"/> | Unconfirmed | | ✎ |

[Hold](#) [Cancel](#) [Save & Close](#) [Submit](#)

Provide the Application Details based on the description in the following table:


| Field | Description | Sample Values |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Advising Bank Ref | Enter the Advising Bank Ref or alternatively select it from LOV'. | |
| Received From - Customer ID | Read only field. Customer ID will be auto-populated from the Guarantee /SBLC Amendment. | 001344 |
| Received From - Customer Name | Read only field. Customer Name will be auto-populated from the Guarantee /SBLC Amendment. | EMR & CO |
| Branch | Read only field. Branch details will be auto-populated from the Guarantee /SBLC Amendment. | 203-Bank Futura -Branch FZ1 |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEADV0015920 |
| Priority | This field will be defaulted based on the priority maintained for the customer. Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. | High |

| Field | Description | Sample Values |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Submission Mode | System defaults the submission mode as 'Desk' for the transactions created via Registration Users are allowed to change the values. The values are: Desk - Request received through Desk Courier - Request received through Courier Email - Request received through Email | Desk |
| Transaction Date | System defaults the current branch date. User can not change the date to a back date and future date. | 04/13/2018 |

Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the Guarantee in this section.

Capture the beneficiary response based on the description in the following table:

| Field | Description | Sample Values |
|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Amendment Number | Read only field. Amendment number will be auto-populated from the Guarantee /SBLC Amendment. | |
| Amendment Date | Read only field. This field displays the date on which the amendment was made to Guarantee/ SBLC. | |
| Beneficiary Consent Required | Read only field. Beneficiary Consent Required (Y/N) will be auto-populated from the Guarantee /SBLC Amendment. | |
| Beneficiary Response | Select the beneficiary response from the drop-down. The values are: <ul style="list-style-type: none"> • Confirmed • Rejected  Note | |
| Remarks | Enter the remarks of the beneficiary response. | |

Miscellaneous

Guarantee Advise Amendment Beneficiary Consent

Documents
Remarks
Customer Instruction

Application Details

| | | | |
|----------------------------------------------|---------------------------------------|-----------------------------------------------|---------------------------------------------|
| Advising Bank Ref PK2GUAD2112SAVN5 | Received From - Customer ID 001044 | Received From - Customer Name GOODCARE PLC | Branch PK2-Oracle Banking Trade Finan... |
| Process Reference Number PK2GTEA000007154 | Priority Medium | Submission Mode Desk | Transaction Date May 5, 2021 |

[View Undertaking](#)

Beneficiary Response Capture

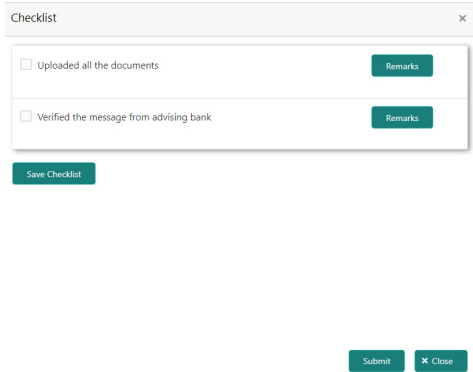
| Amendment Number | Amendment Date | Beneficiary Consent Required | Beneficiary Response | Remarks | Action |
|------------------|----------------|-------------------------------------|----------------------|---------|-------------------|
| 1 | May 5, 2021 | <input checked="" type="checkbox"/> | Unconfirmed | | ✎ |

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | Upload the required Guarantee/ SBLC Amendment – Beneficiary Confirmation Message documents. | |
| Remarks | Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request. Content from Remarks Field should be handed off to Remarks field in Backend application. | |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |

Action Buttons

| Field | Description | Sample Values |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Submit | <p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of SBLC/ Guarantee Amendment - Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Cancel | <p>Cancels the SBLC/ Guarantee Amendment - Beneficiary Consent Registration stage inputs.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Checklist | <p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p>  | |

Data Enrichment

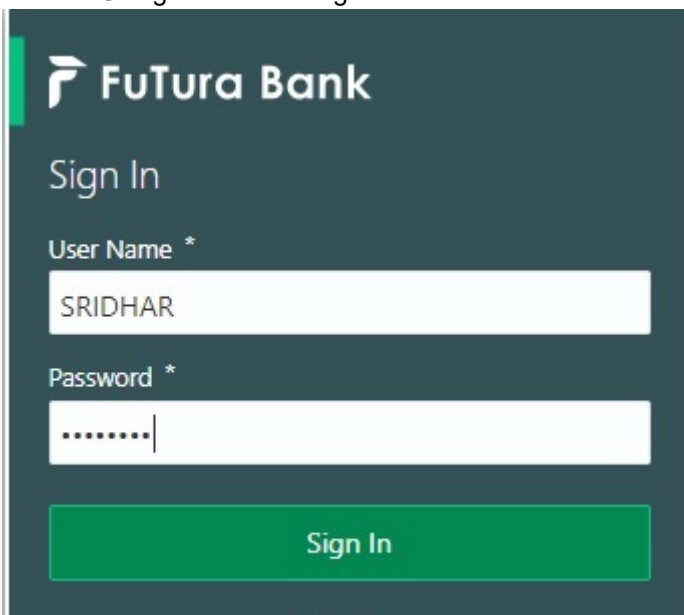
SBLC/ Guarantee Amendment - Beneficiary Consent request that were received at the desk will move to Data Enrichment stage post successful Registration. The requests will have the details entered during the Registration stage. Registration user can input details in Application Details and in Beneficiary Response Capture section. If Registration user has entered details only in Application details, then DE user can input the details.

DE user can also change the details in Beneficiary Response Capture if already captured by Registration user.

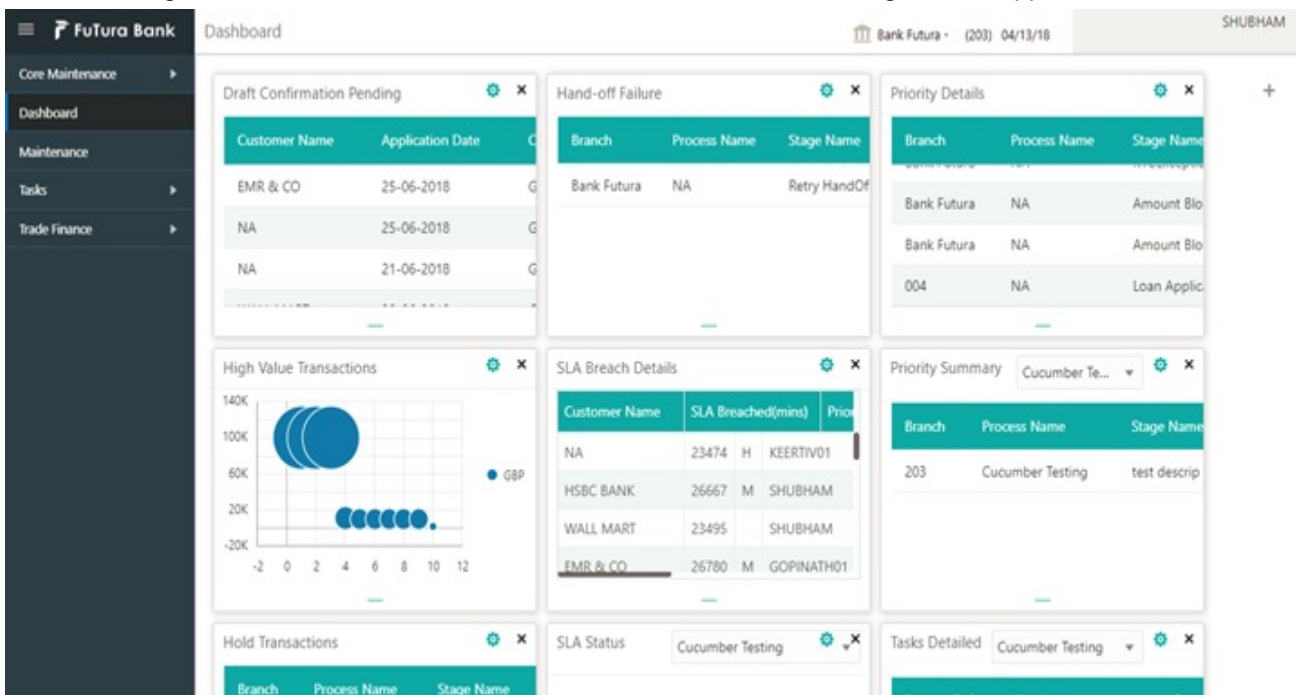
The user can view the requests that are received via online channel like SWIFT are available directly for further processing from Beneficiary Consent Response Capture stage.

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance> Tasks> Free Tasks**.

ORACLE Free Tasks

Menu Item Search...

Core Maintenance Dashboard Machine Learning Maintenance Security Management Tasks Awaiting Customer Clarification Completed Tasks **Free Tasks** Hold Tasks My Tasks Search Supervisor Tasks Trade Finance Administration Bank Guarantee Advise Bank Guarantee Issuance Enquiry Export - Documentary

Refresh Acquire Assign Flow Diagram

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | |
|--------------------------|----------------|--------------|----------------------------|--------------------|------------------|--------------------------------|----------|-----------------|--------|
| <input type="checkbox"/> | Acquire & E... | M | Guarantee Advise Amen... | PK2GTEA000042470 | PK2GTEA000042470 | DataEnrichment | 20-12-15 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Drawing | PK2ILCD000042463 | PK2ILCD000042463 | Scrutiny | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Guarantee Advise Amen... | PK2GTEA000042456 | PK2GTEA000042456 | Approval Task Level 1 | 20-12-14 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Drawing | PK2ILCD000042447 | PK2ILCD000042447 | Registration | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Export LC Advise | PK2ELCA000042435 | PK2ELCA000042435 | DataEnrichment | 20-12-14 | PK2 | 006214 |
| <input type="checkbox"/> | Acquire & E... | H | Import LC Issuance | PK2ILCI000042439 | PK2ILCI000042439 | Limit Earmark Exception App... | 20-12-14 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Drawing | PK2ILCD000042437 | PK2ILCD000042437 | Scrutiny | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Export LC Advise | PK2ELCA000042367 | PK2ELCA000042367 | DataEnrichment | 20-12-12 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Guarantee Issuance | PK2GTEI000042421 | PK2GTEI000042421 | Approval Task Level 1 | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Guarantee Issuance | PK2GTEI000042405 | PK2GTEI000042405 | Scrutiny | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | H | Import LC Issuance | PK2ILCI000042393 | PK2ILCI000042393 | Scrutiny | 20-12-14 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Lodge Claim - Guarantee... | PK2GADC000042385 | PK2GADC000042385 | Handoff RetryTask | 20-12-13 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Lodge Claim - Guarantee... | PK2GTEC000042386 | PK2GTEC000042386 | Approval Task Level 1 | 20-12-13 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Amendment | PK2ELCA000042370 | PK2ELCA000042370 | Approval Task Level 1 | 20-12-13 | PK2 | 001044 |

Page 1 of 70 (1 - 20 of 1392 items) K < 1 2 3 4 5 ... 70 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

ORACLE Free Tasks

Menu Item Search...

Core Maintenance Dashboard Machine Learning NLP Tool Kit Operation Maintenance Security Management Tasks Awaiting Customer Clarification Completed Tasks **Free Tasks** Hold Tasks My Tasks Search Supervisor Tasks Trade Finance Administration Bank Guarantee Advise Bank Guarantee Issuance Enquiry

Refresh Acquire Assign Flow Diagram

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | |
|-------------------------------------|----------------|--------------|----------------------------|--------------------|------------------|--------------------------------|----------|-----------------|--------|
| <input checked="" type="checkbox"/> | Acquire & E... | M | Guarantee Advise Ame... | PK2GTEA000042470 | PK2GTEA000042470 | DataEnrichment | 20-12-15 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Drawing | PK2ILCD000042463 | PK2ILCD000042463 | Scrutiny | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Guarantee Advise Amen... | PK2GTEA000042456 | PK2GTEA000042456 | Approval Task Level 1 | 20-12-14 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Drawing | PK2ILCD000042447 | PK2ILCD000042447 | Registration | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Export LC Advise | PK2ELCA000042435 | PK2ELCA000042435 | DataEnrichment | 20-12-14 | PK2 | 006214 |
| <input type="checkbox"/> | Acquire & E... | H | Import LC Issuance | PK2ILCI000042439 | PK2ILCI000042439 | Limit Earmark Exception App... | 20-12-14 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Drawing | PK2ILCD000042437 | PK2ILCD000042437 | Scrutiny | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Export LC Advise | PK2ELCA000042367 | PK2ELCA000042367 | DataEnrichment | 20-12-12 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Guarantee Issuance | PK2GTEI000042421 | PK2GTEI000042421 | Approval Task Level 1 | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Guarantee Issuance | PK2GTEI000042405 | PK2GTEI000042405 | Scrutiny | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | H | Import LC Issuance | PK2ILCI000042393 | PK2ILCI000042393 | Scrutiny | 20-12-14 | PK2 | 001043 |
| <input type="checkbox"/> | Acquire & E... | M | Lodge Claim - Guarantee... | PK2GADC000042385 | PK2GADC000042385 | Handoff RetryTask | 20-12-13 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Lodge Claim - Guarantee... | PK2GTEC000042386 | PK2GTEC000042386 | Approval Task Level 1 | 20-12-13 | PK2 | 001044 |
| <input type="checkbox"/> | Acquire & E... | M | Import LC Amendment | PK2ELCA000042370 | PK2ELCA000042370 | Approval Task Level 1 | 20-12-13 | PK2 | 001044 |

Page 1 of 70 (1 - 20 of 1392 items) K < 1 2 3 4 5 ... 70 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

My Tasks

Refresh Release Delegate Flow Diagram

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|------------------------------------------|----------|-----------------------------------|--------------------------|--------------------|----------------|------------------|--------|-----------------|
| <input checked="" type="checkbox"/> Edit | M | Guarantee Advise Amendment B... | PK2GTEA000042470 | PK2GTEA000042470 | DataEnrichment | 20-12-15 | PK2 | 001043 |
| <input type="checkbox"/> Edit | | Lodge Claim - Guarantee Issued | PK2GTEC000042427 | PK2GTEC000042427 | Registration | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> Edit | | Lodge Claim - Guarantee Issued | PK2GTEC000042426 | PK2GTEC000042426 | Registration | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> Edit | | Lodge Claim - Guarantee Issued | PK2GTEC000042416 | PK2GTEC000042416 | Registration | 20-12-14 | PK2 | 001044 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Amendment ... | PK2GTEI000042373 | PK2GTEI000042373 | DataEnrichment | 20-12-12 | PK2 | 000153 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Amendment ... | PK2GTEI000042363 | PK2GTEI000042363 | DataEnrichment | 20-12-12 | PK2 | 001044 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Amendment ... | PK2GTEI000042362 | PK2GTEI000042362 | DataEnrichment | 20-12-12 | PK2 | 000153 |
| <input type="checkbox"/> Edit | | Guarantee Advise Amendment B... | PK2GTEA000042340 | PK2GTEA000042340 | Registration | 20-12-11 | PK2 | 001043 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Amendment ... | PK2GTEI000042339 | PK2GTEI000042339 | DataEnrichment | 20-12-11 | PK2 | 001044 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Amendment ... | PK2GTEI000042338 | PK2GTEI000042338 | DataEnrichment | 20-12-11 | PK2 | 001044 |
| <input type="checkbox"/> Edit | M | Guarantee Advise Amendment B... | PK2GTEA000042337 | PK2GTEA000042337 | DataEnrichment | 20-12-11 | PK2 | 001043 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Amendment ... | PK2GTEI000042332 | PK2GTEI000042332 | DataEnrichment | 20-12-11 | PK2 | 001044 |
| <input type="checkbox"/> Edit | | Import Documentary Collection ... | PK2IDCB000042316 | PK2IDCB000042316 | DataEnrichment | 20-12-11 | PK2 | 001044 |
| <input type="checkbox"/> Edit | | Import Documentary Collection ... | PK2IDCB000042315 | PK2IDCB000042315 | DataEnrichment | 20-12-11 | PK2 | 001044 |

Page 1 of 18 (1 - 20 of 357 items) | 1 2 3 4 5 ... 18

The beneficiary consent response capture stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for beneficiary consent response capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has sub section as follows:

- Application Details
- Beneficiary Response Capture

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000007154

Overrides Customer Instruction Common Group Messages

Screen (1 / 6)

Main Details

Application Details

Advising Bank Ref: PK2GUAD21125AVN5

Received From - Customer ID: 001044

Received From - Customer Name: GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Process Reference Number: PK2GTEA000007154

Priority: Medium

Submission Mode: Desk

Transaction Date: May 5, 2021

View Undertaking

Beneficiary Response Capture

| Amendment Number | Amendment Date | Beneficiary Consent Required | Beneficiary Response | Remarks | Action |
|------------------|----------------|-------------------------------------|----------------------|---------|--------|
| 1 | May 5, 2021 | <input checked="" type="checkbox"/> | Unconfirmed | | |

Audit Reject Refer Hold Cancel Save & Close Back Next

Beneficiary Response Capture

The fields listed under this section are same as the fields listed under the [Beneficiary Response Capture](#) section in [Registration](#). Refer to [Beneficiary Response Capture](#) for more information of the During Registration, if user has not captured input, then user can capture the details in this section.

Beneficiary Response Capture

| Amendment Number | Amendment Date | Beneficiary Consent Required | Beneficiary Response | Remarks | Action |
|------------------|----------------|-------------------------------------|----------------------|---------|--------|
| 1 | May 5, 2021 | <input checked="" type="checkbox"/> | Unconfirmed | | |

Reject Refer Hold Cancel Save & Close Back Next

Action Buttons

Use action buttons based on the description in the following table:

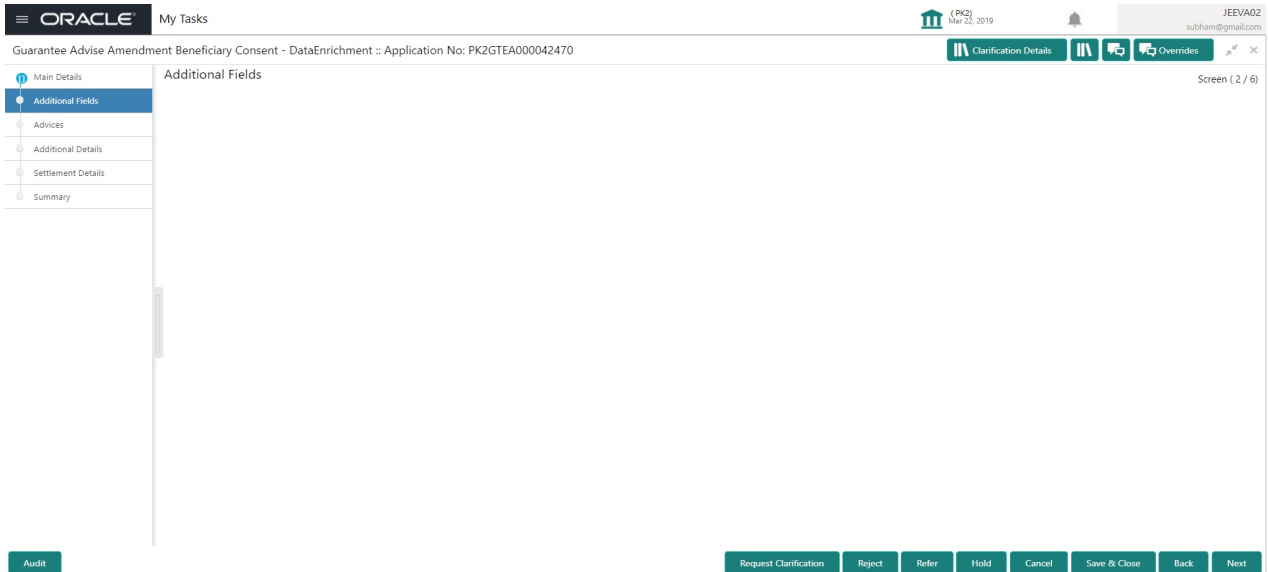
| Field | Description | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |

| Field | Description | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | <p>Cancel the Beneficiary Consent Response Capture stage inputs.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Clarification Details | <p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p> | |

| Field | Description | Sample Values |
|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view overrides, if any.</p> | |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | <p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p> | |
| Incoming Message | <p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> | |

Additional Fields

This stage allows adding more fields that are required to process the request. These fields can be configured as part of implementation of the product.



Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs. | |
| Back | On click of Back, system moves the task to the previous data segment. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. | |

Advices

This section defaults the advices maintained for the product/event simulated from the advices maintained at the Product level in the back office.

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000003780

Screen (3 / 6)

- Main Details
- Additional Fields
- Advices**
- Additional Details
- Settlement Details
- Summary

| Advice : AMD_EXP_CR | Advice : GUA_AMD_INSTR | Advice : LC_ACK_AMND | Advice : LC_CASH_COL_A... |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| Advice Name: AMD_EXP_CR Advice Party : BEN Party Name : GOODCARE PLC Suppress : NO Advice | Advice Name: GUA_AMD_INSTR Advice Party : BEN Party Name : GOODCARE PLC Suppress : NO Advice | Advice Name: LC_ACK_AMND Advice Party : ISB Party Name : CITIBANK IRELAND Suppress : NO Advice | Advice Name: LC_CASH_COL_ADV Advice Party : ISB Party Name : CITIBANK IRELAND Suppress : NO Advice |

Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice
 Advice Name: PAYMENT_MESSAGE
Medium:
Advice Party:

Party ID:
Party Name:

FFT Code





+ -

No data to display.

Instructions

OK Cancel

| Field | Description | Sample Values |
|-----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Suppress Advice | <p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p> | |
| Advice Name | User can select the instruction code as a part of free text. | |
| Medium | The medium of advices is defaulted from the system. User can update if required. | |
| Advice Party | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |

| Field | Description | Sample Values |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------------|
| Party ID | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |
| Party Name | Read only field. Value be defaulted from Guarantee /SBLC Issuance. | |
| Free Format Text | | |
| FFT Code | User can select the FFT code as a part of free text. | |
| FFT Description | FFT description is populated based on the FFT code selected. | |
|  | Click plus icon to add new FFT code. | |
|  | Click minus icon to remove any existing FFT code. | |
| Instruction Details | | |
| Instruction Code | User can select the instruction code as a part of free text. | |
| Instruction Description | Instruction description is populated based on the FFT code selected. | |
|  | Click plus icon to add new instruction code. | |
|  | Click minus icon to remove any existing instruction code. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |

| Field | Description | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.</p> | |
| Back | <p>On click of Back, system moves the task to the previous data segment.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Clarification Details | <p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p> | |

| Field | Description | Sample Values |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. | |

Additional Details

Following tiles are present in Additional Details section:

- Limits and Collateral section
- Charges, commission and Taxes simulated from back office and populated in this screen
- Preview Messages simulated from back office and populated in this screen

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000003780 Overrides

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details Screen (4 / 6)

| Commission, Charges an... | Preview messages | Limits and Collaterals |
|----------------------------------------------------------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Charge : GBP 50 Commission : Tax : Block Status : Not Initiated | Language : Preview Messages :- | Limit Currency : Limit Contribution : Limit Check Status : Collateral Currency : GBP Collateral : Contribution : Collateral Check Status : |

Audit Reject Refer Hold Cancel Save & Close Back Next

Limit and Collateral

In this section user can to attach more than one line.

Limits Details

Limits and Collaterals x

Limit Details

| <input type="checkbox"/> | Customer ID | Line ID | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message | View |
|--------------------------|-------------|---------|----------------|-----------------------|---------------------|----------------------|------------------|------|
| No data to display. | | | | | | | | |

Collateral Details +

| Collateral Type | Collateral % | Currency | Contribution Amount | Settlement Account | Account Balance Check Response | Response Message | Edit | Delete |
|-----------------|--------------|----------|---------------------|--------------------|--------------------------------|------------------|-----------------|--------|
| Cash Collateral | 0 | GBP | US\$0.00 | PK20010440017 | | | Cash Collateral | |

Save & Close Cancel

Limit Details x

| | |
|-----------------------------------|-----------------------------------------------------------|
| Customer Id 001044 | Line ID * 001044_GB |
| Contribution % * 100.0 | Limits Description <input type="text"/> |
| Contribution Currency GBP | Contribution Amount * £9,000.00 |
| Limit Currency GBP | Limit Available Amount £9,99,999.00 |
| Limit Check Response Available | Response Message The Earmark can be performed as the f |
| Expiry Date 24-Dec-2020 | |

Verify Save & Close Close

Provide the Limit Details based on the description in the following table:

| Field | Description | Sample Values |
|-------------|--------------------------------------------------------|---------------|
| Edit Link | Click edit link to edit any existing Limit Details. | |
| | Click plus icon to add new Limit Details. | |
| | Click minus icon to remove any existing Limit Details. | |
| Customer ID | This field displays the applicant's bank customer ID. | |

| Field | Description | Sample Values |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Line ID | <p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <ul style="list-style-type: none"> • If the drawer has limit available then the limit ID of the drawer should get defaulted • The Collecting Bank Limit will be mark in case Drawer limit is not available | |
| Contribution% | <p>System will default this to 100% and user can modify. System will display an alert message, if contribution is more than 100%.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> | |
| Limits Description | Description of limit. | |
| Contribution Currency | The bill currency will be defaulted in this field. | |
| Contribution Amount | User can enter the contribution amount to be utilized under the selected limit. | |
| Limit Currency | Limit Currency will be defaulted in this field. | |
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. | |
| Limit Check Response | Response can be 'Success' or 'Limit not Available'. | |
| Response Message | Detailed Response message. | |
| Expiry Date | This field displays the date up to which the Line is valid | |

Collateral Details

Collateral Details
✕

Collateral Type *
Cash Collateral ▼

Currency
GBP

Settlement Account *
2030013460000000017 🔍

Settlement Account Currency
GBP

Response
Available

[Verify](#)

Collateral % *
20 ▼ ▲

Contribution Amount *
£4,000.00



Settlement Account Branch
203

Account Available Amount
£998,926,760.53

Response Message
The amount block can be performed as

✔ Save & Close
✕ Cancel

Provide the collateral details based on the description provided in the following table:

| Field | Description | Sample Values |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Edit Link | Click edit link to edit any existing Limit Details. | |
|  | Click plus icon to add new Collateral Details. | |
|  | Click minus icon to remove any existing Collateral Details. | |
| Collateral Type | Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits. | |
| Collateral % | User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System to validate that Limit Contribution% plus Collateral % is equal to 100. Otherwise system display an alert message. | |
| Currency | Read only field. The bill currency will get defaulted in this field. | |
| Drawing Amount | Collateral drawing amount will get defaulted in this field. | |

| Field | Description | Sample Values |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Settlement Account | The CASA accounts of the customer will be listed and the user to choose the settlement account. The user can verify the balance available in the settlement account by clicking on verify balance button. | |
| Settlement Account Branch | Settlement Account Branch will be auto-populated based on the Settlement Account selection. | |
| Settlement Account Currency | Select the Settlement Account Currency. | |
| Account Available Amount | Account Available Amount will be auto-populated based on the Settlement Account selection. | |
| Response | Response can be 'Success' or 'Amount not Available'. | |
| Response Message | Detailed Response message. | |

Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and taxes x

Recalculate Redefault

4 Charge Details

| | | | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|------------|-----|--------|----------|-----------------------|-----------------------|-----------------------|--------------|---------------------------------------------|
| LCCOURAMND | GBP | £50.00 | | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | PK20010430013 <input type="text" value=""/> |
| LCSWIFTAMN | GBP | £50.00 | | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | <input type="text" value=""/> |

4 Commission Details

| Rate | Modified | Defer | Waive | Charge Party | Settlement Account |
|---------------------|----------|-------|-------|--------------|--------------------|
| No data to display. | | | | | |

4 Tax Details

| Billing | Defer | Settlement Account |
|---------------------|-------|--------------------|
| No data to display. | | |

Save & Close Cancel

| Field | Description | Sample Values |
|-----------|------------------------------------------------------------------|---------------|
| Component | Charge Component type. | |
| Currency | Defaults the currency in which the charges have to be collected. | |

| Field | Description | Sample Values |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Amount | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Modified Amount | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. | |
| Billing | <p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Defer | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p> | |
| Waive | <p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>If the user changes the defaulted charging to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Charge Party | Charge party will be applicant by default. You can change the value to beneficiary | |
| Settlement Account | Details of the settlement account. | |

Commission Details

Commission Details are auto-populated from back-end system.

Charge Details ×

▲ Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|------------|------|----------|------------|----------------------|--------------------------|--------------------------|
| AILSN_COMM | 1.5 | GBP | \$1,900.00 | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |

▲ Tax Details

| Component | Currency | Amount | Settlement Account |
|-----------|----------|--------|----------------------|
| LCTAX2 | GBP | 95 | 20300134600000000017 |
| LCTAX | GBP | 1600 | 20300134600000000017 |
| LCTAX1 | GBP | 0 | 20300134600000000017 |

✓ Save & Close
✗ Cancel

| Field | Description | Sample Values |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Component | Select the commission component | |
| Rate | Defaults from product. User can change the rate, if required. | |
| Currency | Defaults the currency in which the commission needs to be collected | |
| Amount | An amount that is maintained under the product code defaults in this field. User can modify the value, if required. | |
| Modified Amount | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Billing | If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. | |
| Waive | <p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p> | |

| Field | Description | Sample Values |
|--------------------|--------------------------------------------------------------------------------------|---------------|
| Charge Party | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary | |
| Settlement Account | Details of the Settlement Account. | |

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User can update the default value.

Tax details are auto-populated from the back-end system.

Charge Details

▲ Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|-------------|------|----------|------------|----------------------|--------------------------|--------------------------|
| AILS_N_COMM | 1.5 | GBP | \$1,900.00 | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |

▲ Tax Details

| Component | Currency | Amount | Settlement Account |
|-----------|----------|--------|----------------------|
| LCTAX2 | GBP | 95 | 20300134600000000017 |
| LCTAX | GBP | 1600 | 20300134600000000017 |
| LCTAX1 | GBP | 0 | 20300134600000000017 |

| Field | Description | Sample Values |
|--------------------------|----------------------------------------------------------------------------------------------------------------------|---------------|
| Component | Tax Component type | |
| Currency | The tax currency is the same as the commission. | |
| Amount | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required. | |
| Settlement Account | Details of the settlement account. | |
| Charges From Beneficiary | User can enter the amount to be collected from beneficiary on account of this transaction. | |

Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

| Field | Description | Sample Values |
|-----------------------------|---------------------------------------------------------------------------------|---------------|
| Preview SWIFT Message | | |
| Language | Select the language for the SWIFT message. | |
| Message Type | Select the message type. | |
| Preview Advice | Display a preview of the draft message. | |
| Preview Mail Device | | |
| Language | Select the language for the advice message. | |
| Advice Type | Select the advice type. | |
| Message Type | Display a preview of the advice. | |
| Draft Confirmation Required | This toggle enables the user to select if draft confirmation is required or not | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |

| Field | Description | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs.</p> | |
| Back | <p>On click of Back, system moves the task to the previous data segment.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Clarification Details | <p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p> | |

| Field | Description | Sample Values |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. | |

Settlement Details

System should simulate the settlement details from back office and display the same in this screen.

Guarantee Advise Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2GTEA000003780

Screen (5 / 6)

Settlement Details

Current Event

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event |
|-----------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|
| AVL_SET_LCAMT | | No | PK20010440017 | | GBP | No | No |
| AVL_SET_LCAMTEQ | | No | PK20010440017 | | GBP | No | No |
| COLLAMT_OSEQ | | No | PK20010440017 | | GBP | No | No |
| COLL_AMNDAMTEQ | | No | PK20010440017 | | GBP | No | Yes |
| COLL_AMTEQ | | No | PK20010440017 | | GBP | No | No |
| COLL_AMT_DECR | | No | PK20010440017 | | GBP | No | Yes |
| COLL_AMT_INCR | | No | PK20010440017 | | GBP | No | Yes |
| COLL_AVALAMTEQ | | No | PK20010440017 | | GBP | No | No |
| LCEXADV_LIQD | | No | PK20010440017 | | GBP | No | No |

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

| Field | Description | Sample Values |
|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Current Event | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. | |
| Component | Components gets defaulted based on the product selected. | |
| Currency | Application displays the default currency for the component. | |

| Field | Description | Sample Values |
|---------------------|----------------------------------------------------------------------------------|---------------|
| Debit/Credit | Application displays the debit/credit indicators for the components. | |
| Account | Application Displays the account details for the components. | |
| Account Description | Application displays the description of the selected account. | |
| Account Currency | Application defaults the currency for all the items based on the account number. | |
| Netting Indicator | Application displays the applicable netting indicator. | |
| Current Event | System displays the current event as Y or N. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |

| Field | Description | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Hold | The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Cancel | Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs. | |
| Back | On click of Back, system moves the task to the previous data segment. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. | |

Summary

User can review the summary of details updated in Beneficiary Consent Response Capture section. User can drill down from summary Tiles into respective data segments.

The screenshot shows the Oracle application interface for a 'Guarantee Amend Beneficiary Consent' with Application No: PK2GTEA000042470. The 'Summary' page is displayed, showing ten summary tiles:

- Main Details:** SBL/Guarantee Type : **BILL**, Submission Mode : **Desk**, Date Of Issue : **2019-03-22**
- Additional Fields:** Click here to view Additional fields
- Commission, Charges and taxes:** Charge : **GBP100**, Commission : , Tax : , Block Status : **Not Initia**
- Preview messages:** Language : **ENG**, Preview Message : -
- Advices:** Advice1 : **GUA_AMD_IN**, Advice2 : **GUAR_RELEASE**, Advice3 : **LC_ACK_AMND**, Advice4 : **LC_CASH_CO**, Advice5 : **PAYMENT_ME**
- Accounting Details:** Event : , AccountNumber : , Branch :
- Party Details:** Applicant : **GOODCARE PLC**, Confirming Bank : **WELLS FARG**, Beneficiary : **MARKS AND**
- Settlement Details:** Component : **LCEXADV_LIQD**, Account Number : **PK20010430**, Currency :
- Limits and Collaterals:** Limit Currency : , Limit Contribution : , Limit Status : **Not Verified**, Collateral Currency : **GBP**, Collateral Contr. : , Collateral Status : **Not Verified**
- Compliance details:** KYC : **Not Initia**, Sanctions : **Not Initia**, AML : **Not Initia**

Tiles Displayed in Summary

- **Main Details** - User can view the application details and Guarantee details. User can only view but cannot modify the details.
- **Additional Fields** – User can view the User Defined Field maintained.
- **Commission Charges and Taxes** - User can view the details provided for charges. User can only view but cannot modify the details.
- **Preview Messages** - User can have the preview of message.
- **Advices** - User can view the advice details.
- **Accounting Details** - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- **Party Details** - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- **Settlement Details** - User can view the Settlement details.
- **Limits and Collaterals** - User can view the limits and collateral details. User can only view but cannot modify the details.
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Button

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | Cancel the Guarantee Issuance Amendment Beneficiary Consent inputs. | |
| Back | On click of Back, system moves the task to the previous data segment. | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |

| Field | Description | Sample Values |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. | |

Approval

The Approval user can review and approve the details updated in approval stage of the Beneficiary Consent response for Amendment under Guarantee Issued.

Log in into OBTFPM application and acquire the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Description Approval the user can view a snapshot of the beneficiary consent response to an amendment made to this transaction as read only.

Summary

Tiles Displayed in Summary:

- Main Details - User can view the application details and Guarantee details. User can only view but cannot modify the details.
- Additional Fields – User can view the User Defined Field maintained.

- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Advices - User can view the advice details.
- Accounting Details - User can view the accounting entries generated in back office.



Note
When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Settlement Details - User can view the Settlement details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Documents and Checklist

- Documents: Approval user can open the uploaded documents and verify them.
- Checklist: Verify the uploaded documents.
- Remarks: Approval user can view the remarks captured in the process during earlier stages.
- Incoming Message: User can view the SWIFT MT 768 if applicable

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>Cancel the Guarantee Issuance under beneficiary consent approval.</p> | |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p> | |

Acceptance Criteria

As a OBTFPM user, the user can capture the beneficiary consent received for an Amendment of Guarantee/ SBLC to the beneficiary.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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